aru

ttorrey's Docket No.: 007675.P002

<u>Patent</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Pa	atent Application of:)		
	Rupert Katritzky, et al.)	г ·	NT / N/ / A : 1
Applic	ation No.: 10/568,608)	Examiner:	Not Yet Assigned
Filed:	February 13, 2006)	Art Unit:	Not Yet Assigned
)		·
For:	STOCK RETRIEVAL SYSTEM)		

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO/SB/08 be initialed by the Examiner to indicate such

First-Class Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450 on:

December 1, 2006	6
Date of Deposit	
Linda K. Brost	
Name of Person Mailing Corr	respondence
Guda K. Brost	December 1, 2002
Signature	Date

consideration and a copy thereof returned to Applicant. Some of the references were cited in a Search Report and Written Opinion dated October 6, 2004 (copies enclosed herewith) in a counterpart PCT application.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

<u>X</u>	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information
	Disclosure Statement are the following:
	(1) A

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: December 1, 2006

Stephen M. De Klerk

Reg. No. 46,503

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

Substitute for Form 1449/PTO				Complete if Known				
INFORMATION DISCLOSURE					Application Number	10/568,608		
					Filing Date	February 13, 2006		
	STATI	ΞMΕ	APPLICAN	Γ	First Named Inventor:	Rupert Katritzky		
(uch as many sheets as necessary)					Art Unit	Not Yet Assigned		
					Examiner Name	Not Yet Assigned		
Sheet	1	127	پر of	1	Attorney Docket Number	007675.P002		
	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹		Document Number Number-Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-	4,389,157	06-21-1983	Bernard II, et al.	3 , , , ,		
	-		5,208,762	05-04-1993	Charhut, et al.			
			5,575,375	11-19-1996	Sandusky, et al.			
		US-	5,894,918	04-20-1999	Bonnet			
		US-	2003/0065421 A1	04-03-2003	Didriksen, et al.			
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
-		US-						
		US-	and a					
		US-						

FOREIGN PATENT DOCUMENTS								
Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶			
	DE 1 219 864	06-23-1966	Zarges					
	DE 198 50 636 A1	05-04-2000	Zeller					
	EP 0 864 513 A2	09-16-1998	United Parcel Service of America, Inc.					
	JP 60082509	05-10-1985	Nippon Filing Co. Ltd.					
		Cite No.1 Country Code3 Number4 Kind Code5 (if known) DE 1 219 864 DE 198 50 636 A1 EP 0 864 513 A2	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY Country Code³ Number⁴ Kind Code⁵ (if known) 06-23-1966 DE 1 219 864 DE 198 50 636 A1 05-04-2000 05-04-2000 EP 0 864 513 A2 09-16-1998	Cite No.1 Foreign Patent Document Publication Date MMM-DD-YYYY Name of Patentee or Applicant of Cited Document DE 1 219 864 06-23-1966 Zarges DE 198 50 636 A1 05-04-2000 Zeller EP 0 864 513 A2 09-16-1998 United Parcel Service of America, Inc.	Cite No.¹ Publication Date MM-DD-YYYY Publication Date MM-DD-YYYY Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			

Examiner	Date Considered	
Signature		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.